

Patent Public Advisory Committee Meeting

Patent Operations



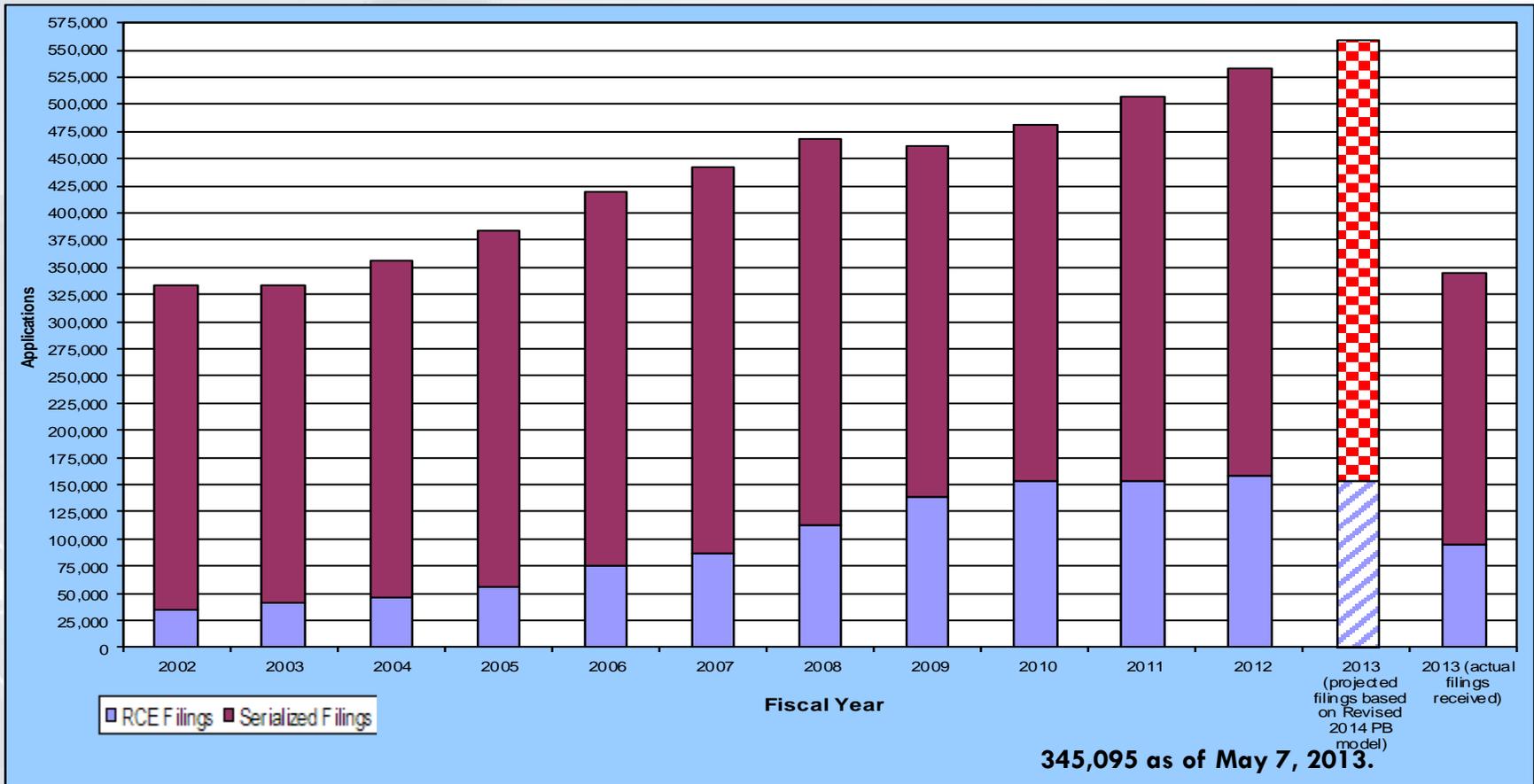
James Dwyer

Assistant Deputy Commissioner for Patent Operations

May 16, 2013



Total Serialized and RCE Filings FY 2002 – FY 2013 (through May 7)

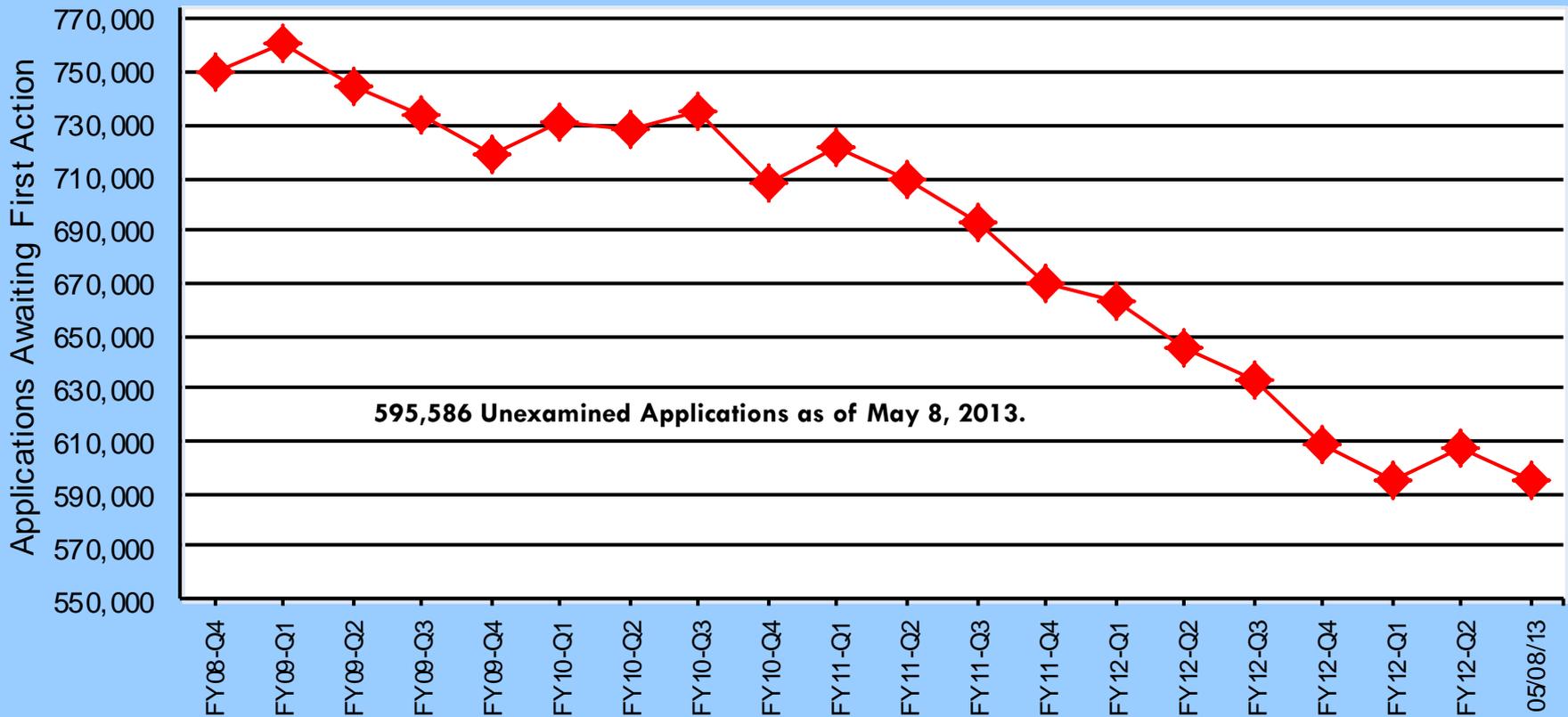


FY 2013 Target based on FY 14 President’s Budget model (5% Projected Growth over FY 2012). Serialized filings in FY 2013 are projected to grow 7.2% over FY 2012. RCE filings are projected to have negative growth of -0.8%, compared to FY 2012.

FY 2012 Results: 5.2% total UPR growth rate over FY 2011. Serialized filings grew 6% over FY 2011. RCE filings grew 3.3% over FY 2011.



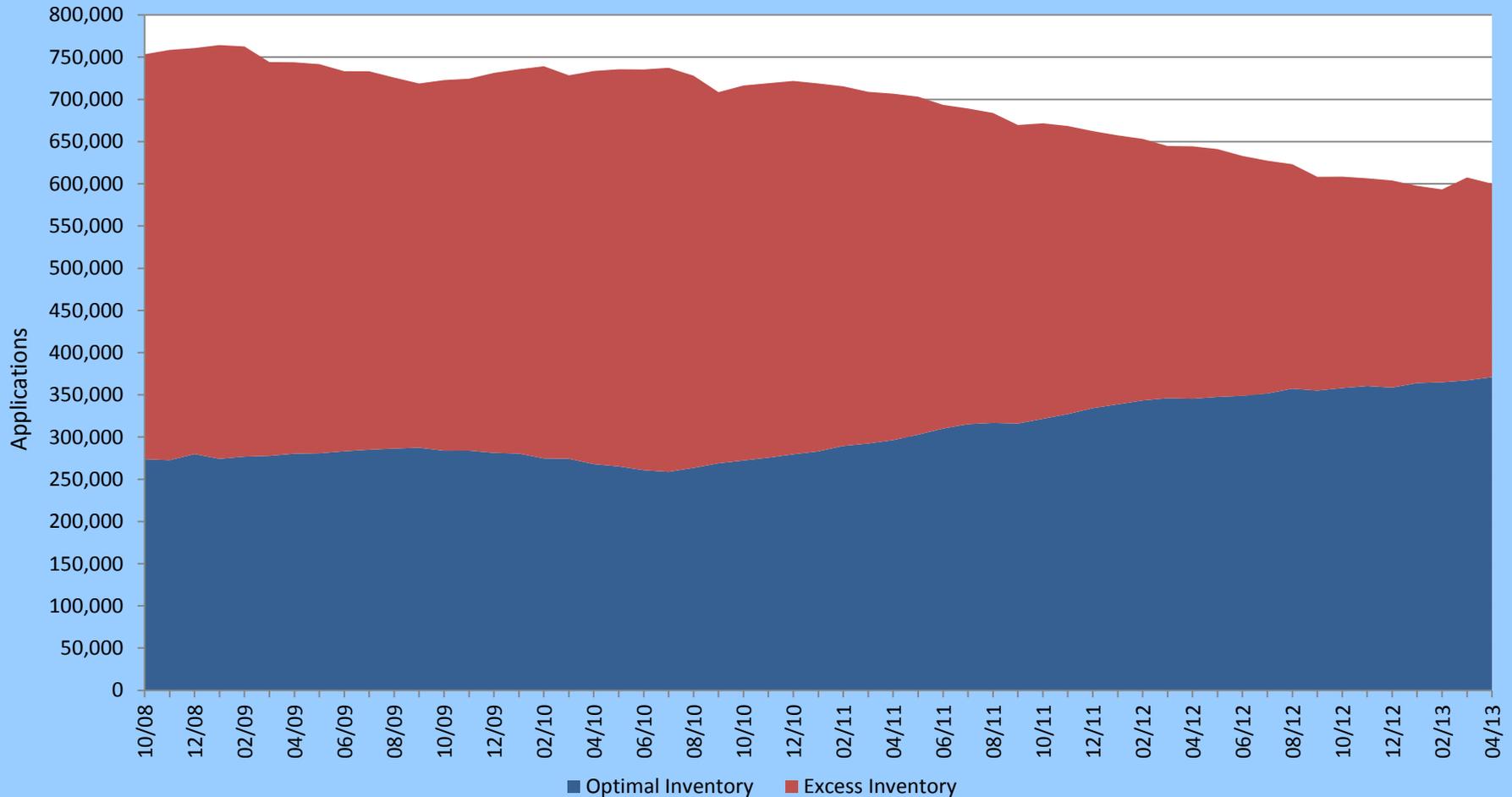
Unexamined Patent Application Backlog FY 2009 – FY 2013 (through May 8)



End of Fiscal Year 2012 backlog was 608,283.



Excess and Optimal Unexamined Patent Application Inventory FY 2009 – FY 2013 (through April)

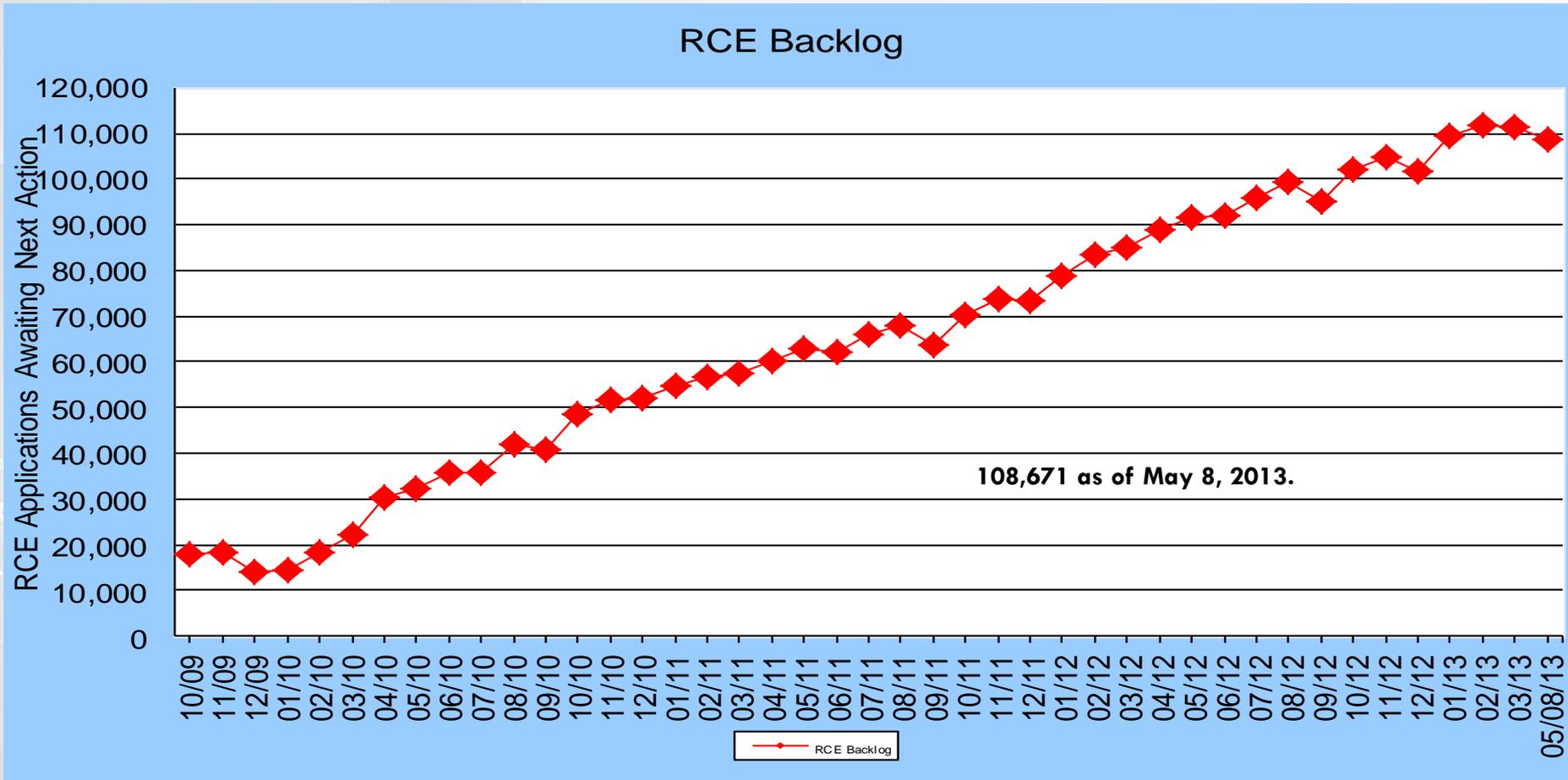


229,201 Excess Unexamined Applications as of April 2013.



RCE Backlog

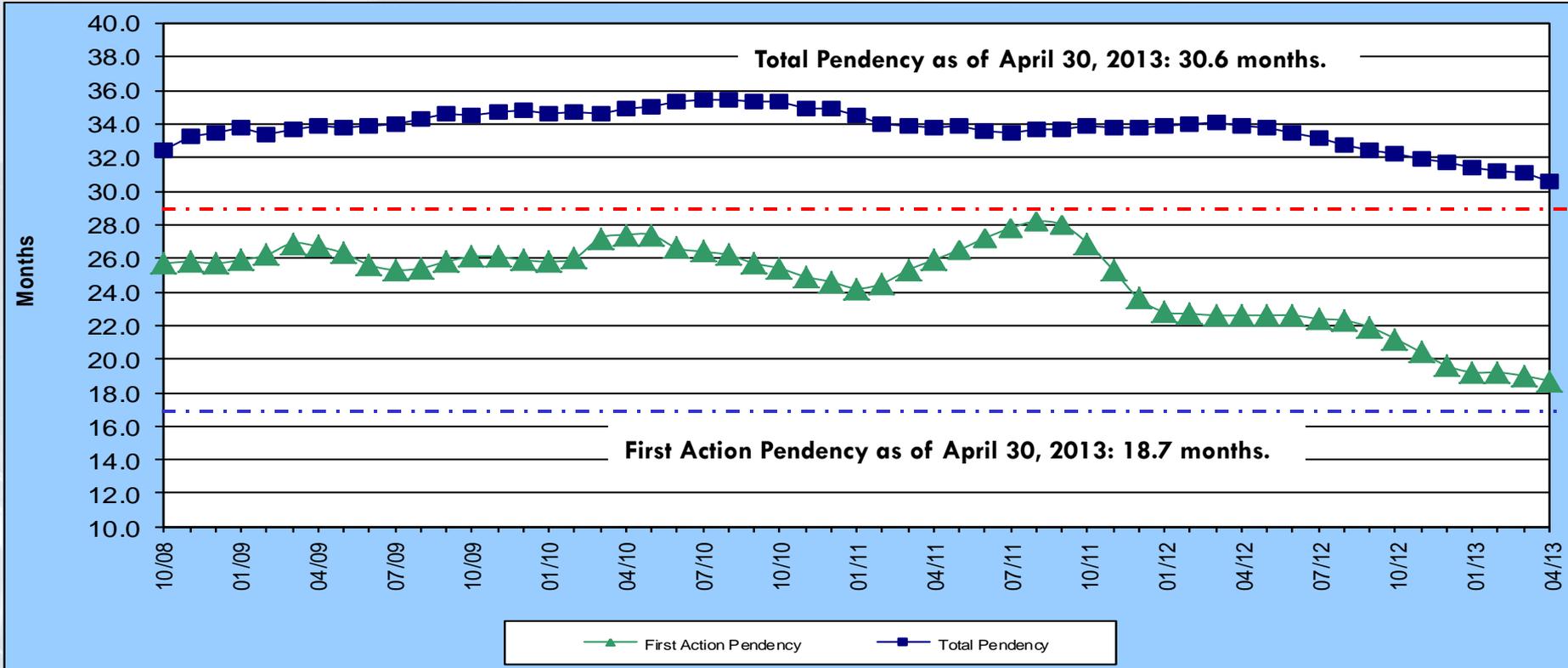
FY 2010 – FY 2013 (through May 8)



End of Fiscal Year RCE backlog was 95,200.



First Action Pendency and Total Pendency FY 2009 – FY 2013 (through April)



Average Total Pendency FY 2012 Target: 34.7 months. Actual result: 32.4 months.

Average First Action Pendency FY 2012 Target: 22.5 months. Actual result: 21.9 months.

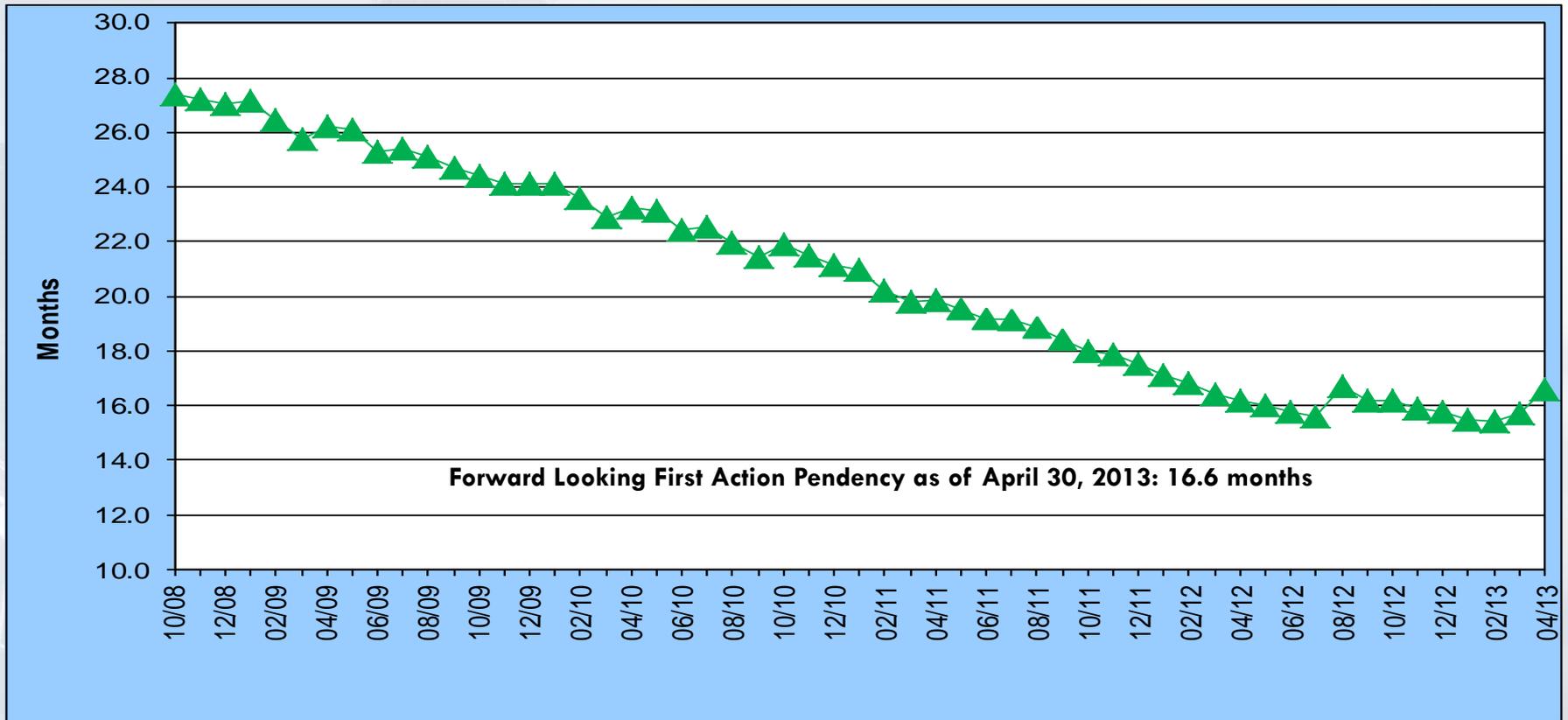
FY 2013 Targets:

--- Average First Action Pendency: 17.3 months.

--- Average Total Pendency: 29.6 Months.



Forward Looking First Action Pendency FY 2009 – FY 2013 (through April)

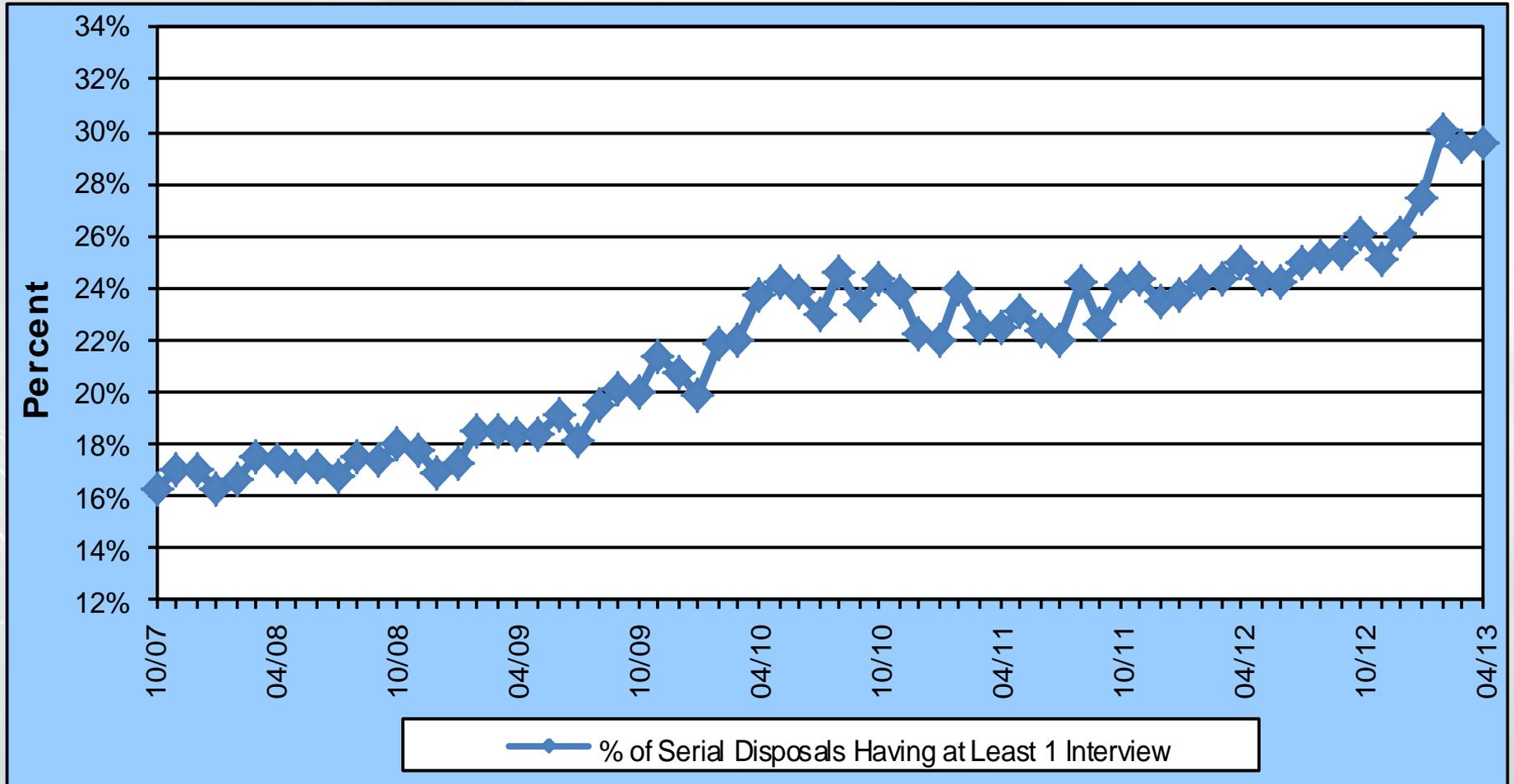


Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.



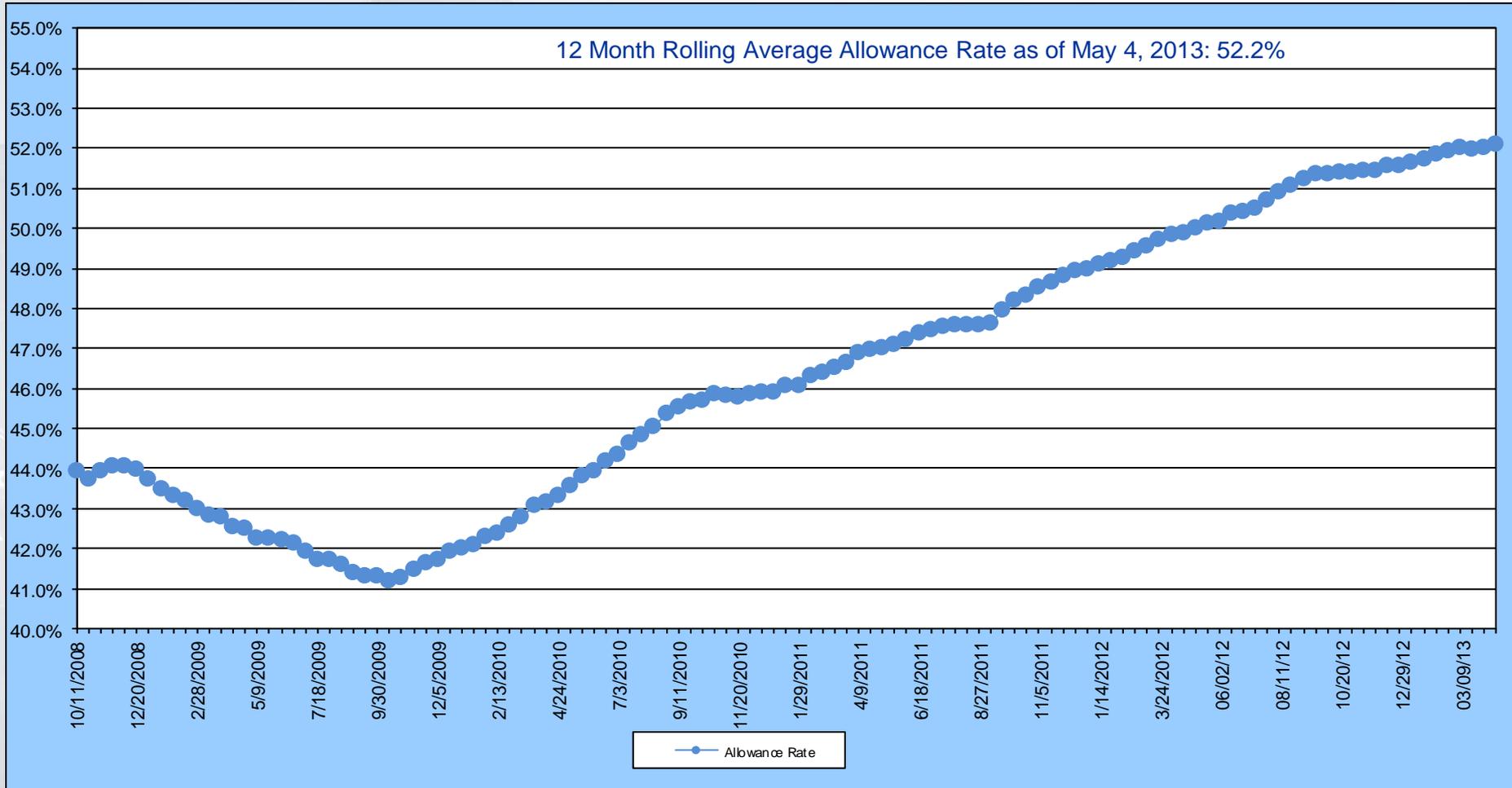
Percent of Serial Disposals Having at Least One Interview

FY 2008 – FY 2013 (through April)



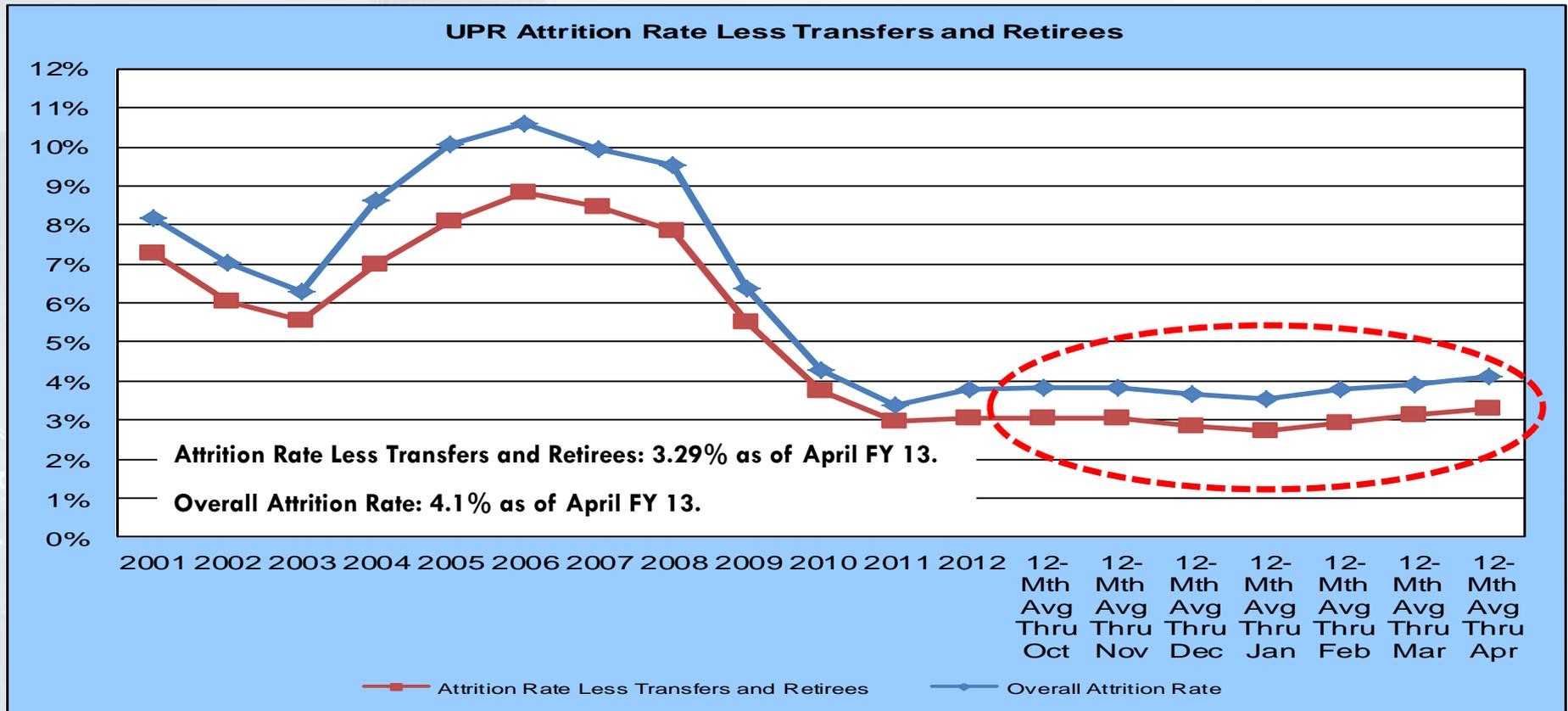


12 Month Rolling Average Allowance Rate, by Bi-Week FY 2009 – FY 2013 (through May 4)





12-Month Rolling Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY2013 (through April)



○ The oval represents when monthly data begins.



Track One Statistics (through May 7, 2013)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	512	576	504	469	1,031	400	48					4,045

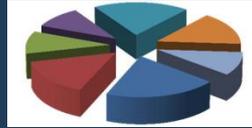
Percent of Petitions From Small Entities	Average Days to Petition Decision	% of Decided Petitions Granted
45%	48.4	94%

First Actions Completed	Average Days from Petition Grant to First Office action	Final Rejections	Issues	Number of Abandonments	Number of Allowances	Average Days From Petition Grant to Allowance	Average Days From Petition Grant to Final Disposition
6,769	59	2,307	1,945	88	2,295	143	176

First Patent Issued on January 10, 2012 from a September 30, 2011 Prioritized Examination filing



Quality Composite



Patent Quality Composite Metrics

Reporting Period	Final Disposition Compliance Rate - Weight 20%	In-Process Compliance Rate - Weight 15%	FAOM Search Review - Weight 10%	Complete FAOM Review - Weight 10%	Quality Index Reporting - Weight 20%	External Quality Survey - Weight 15%	Internal Quality Survey - Weight 10%	Quality Composite Score
FY13-Apr	96.5%	95.6%	97.0%	90.5%	89.9%	6.4	5.1	63.6
FY13Q2	96.5%	95.6%	97.0%	90.5%	89.9%	6.4	5.1	63.6
FY13-Feb	96.4%	95.6%	97.1%	90.8%	89.8%	5.2	9.4	68.6
FY13-Jan	96.6%	95.6%	97.1%	91.0%	89.8%	5.2	9.4	70.6
FY13Q1	96.6%	95.9%	96.9%	91.2%	89.8%	5.2	9.4	72.0
FY13-Nov	96.6%	95.9%	97.2%	91.2%	89.8%	5.2	9.4	72.4
FY13-Oct	96.6%	95.9%	97.2%	91.2%	89.8%	5.2	9.4	72.4
FY12Q4	96.6%	95.9%	97.2%	91.2%	89.8%	5.2	9.4	72.4
FY12-Aug	96.5%	96.0%	97.0%	91.1%	89.7%	5.0	5.1	66.3
FY12-Jul	96.6%	96.2%	96.5%	90.9%	90.1%	5.0	5.1	66.4
FY12-Q3	96.6%	96.1%	96.6%	90.8%	90.1%	5.0	5.1	66.1
FY12-May	96.6%	96.1%	96.4%	90.7%	89.6%	5.0	5.1	63.8
FY12-Apr	96.7%	96.2%	96.9%	91.4%	89.6%	5.0	5.1	68.8
FY12Q2	96.3%	96.0%	97.0%	91.5%	89.6%	5.0	5.1	65.5
FY12-Feb	96.2%	96.0%	95.6%	90.9%	89.5%	3.0	5.1	49.3
FY12-Jan	95.9%	96.3%	95.6%	91.0%	89.5%	3.0	4.3	43.9
FY12Q1	95.4%	95.2%	95.6%	91.0%	89.5%	3.0	4.3	35.2
FY11Q4	95.4%	95.2%	94.6%	90.9%	89.5%	3.0	4.3	30.7
FY11Q3	95.4%	94.7%	93.4%	90.0%	89.1%	2.7	4.2	26.4
FY11Q2	95.3%	94.8%	90.8%	89.7%	88.9%	2.7	4.2	25.5
FY11Q1	96.2%	94.9%	N/A	N/A	88.9%	3.6	N/A	N/A
FY10Q4	96.3%	94.9%	N/A	N/A	89.3%	3.6	N/A	N/A
FY10Q3	96.0%	94.6%	N/A	N/A	89.5%	1.8	N/A	N/A
FY10Q2	95.7%	94.4%	N/A	N/A	89.1%	1.8	N/A	N/A
FY10Q1	94.5%	94.1%	N/A	N/A	87.9%	1.2	N/A	N/A
FY09Q4	94.4%	93.6%	N/A	N/A	85.9%	1.2	N/A	N/A
FY09Q3	94.1%	94.1%	N/A	N/A	84.2%	1.1	N/A	N/A
FY09Q2	93.8%	93.9%	N/A	N/A	83.4%	1.1	N/A	N/A
FY09Q1	94.0%	93.4%	N/A	N/A	83.5%	1.3	N/A	N/A

FY 2011 was baseline year.